

Amendment Tool

v1.2 11 Jun 2020

For office use

QC: No

Section 1: Project information

Short project title*:	FALCON C-19			
IRAS project ID* (or REC reference if no IRAS project ID is available):	284229			
Sponsor amendment reference number*:	Amendment 10			
Sponsor amendment date* (enter as DD/MM/YY):	09 September 2020			
Summary of amendment including justification*:	Amendment to protocol as well as addition of new PIS and telephone consent to include a different patient population			
Project type:	<input checked="" type="radio"/> Specific study <input type="radio"/> Research tissue bank <input type="radio"/> Research database			
Has the study been reviewed by a UKECA-recognised Research Ethics Committee (REC) prior to this amendment?:	<input checked="" type="radio"/> Yes		<input type="radio"/> No	
What type of UKECA-recognised Research Ethics Committee (REC) review is applicable?:	<input checked="" type="radio"/> NHS/HSC REC <input type="radio"/> Ministry of Defence (MoDREC)			
Is all or part of this amendment being resubmitted to the Research Ethics Committee (REC) as a modified amendment?	<input type="radio"/> Yes		<input checked="" type="radio"/> No	
Where is the NHS/HSC Research Ethics Committee (REC) that reviewed the study based?:	England	Wales	Scotland	Northern Ireland
	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
Was the study a clinical trial of an investigational medicinal product (CTIMP) OR does the amendment make it one?:	<input type="radio"/> Yes		<input checked="" type="radio"/> No	
Was the study a clinical investigation or other study of a medical device OR does the amendment make it one?:	<input type="radio"/> Yes		<input checked="" type="radio"/> No	
Did the study involve the administration of radioactive substances, therefore requiring ARSAC review, OR does the amendment introduce this?:	<input type="radio"/> Yes		<input checked="" type="radio"/> No	
Did the study involve the use of research exposures to ionising radiation (not involving the administration of radioactive substances) OR does the amendment introduce this?:	<input type="radio"/> Yes		<input checked="" type="radio"/> No	
Did the study involve adults lacking capacity OR does the amendment introduce this?:	<input checked="" type="radio"/> Yes		<input type="radio"/> No	
Did the study involve access to confidential patient information without consent OR does the amendment introduce this?:	<input type="radio"/> Yes		<input checked="" type="radio"/> No	
Did the study involve prisoners OR does the amendment introduce this?:	<input type="radio"/> Yes		<input checked="" type="radio"/> No	
Did the study involve NHS/HSC organisations prior to this amendment?:	<input checked="" type="radio"/> Yes		<input type="radio"/> No	
Did the study involve non-NHS/HSC organisations OR does the amendment introduce them?:	<input type="radio"/> Yes		<input checked="" type="radio"/> No	
	England	Wales	Scotland	Northern Ireland
Lead nation for the study:	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Which nations had participating NHS/HSC organisations prior to this amendment?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Which nations will have participating NHS/HSC organisations after this amendment?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Section 2: Summary of change(s)

Please note: Each change being made as part of the amendment must be entered separately. For example, if an amendment to a clinical trial of an investigational medicinal product (CTIMP) involves an update to the Investigator's Brochure (IB), affecting the Reference Safety Information (RSI) and so the information documents to be given to participants, these should be entered into the amendment tool as three separate changes. A list of all possible changes is available on the "Glossary of Changes" tab. To add another change, tick the "Add another change" box.

Change 1				
Area of change (select)*:	Study Design			
Specific change (select - only available when area of change is selected first)*:	Other significant change to study design that will have additional resource implications for participating organisations - Please specify in the free text below			
Further information (free text):	IRAS form: Changes to questions A17-1, A27-1, A29 and A46 to include a different patient population that is to be identified through NHS Test and Trace			
Applicability:	England	Wales	Scotland	Northern Ireland
Where are the participating NHS/HSC organisations located that will be affected by this change?*	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Will all participating NHS/HSC organisations be affected by this change, or only some?:	<input checked="" type="radio"/> All		<input type="radio"/> Some	

Add another change: ☒

Change 2				
Area of change (select)*:	Study Design			
Specific change (select - only available when area of change is selected first)*:	Inclusion/exclusion criteria - Minor change unlikely to affect safety or scientific value of study			
Further information (free text):	Protocol v1.3 09/09/2020, page 14: Addition of a new population of participants identified through NHS Test and Trace			
Applicability:	England	Wales	Scotland	Northern Ireland
Where are the participating NHS/HSC organisations located that will be affected by this change?*	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Will all participating NHS/HSC organisations be affected by this change, or only some?:	<input checked="" type="radio"/> All		<input type="radio"/> Some	

Add another change: ☒

Change 3				
Area of change (select)*:	Participant Procedures			
Specific change (select - only available when area of change is selected first)*:	Recruitment - Change in identification, approach, recruitment or consent of participants			
Further information (free text):	Protocol v1.3 09/09/2020, section 6.3.2, page 15: Addition of Work Stream B to include participants that are identified through NHS Test and Trace.			
Applicability:	England	Wales	Scotland	Northern Ireland
Where are the participating NHS/HSC organisations located that will be affected by this change?*	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Will all participating NHS/HSC organisations be affected by this change, or only some?:	<input type="radio"/> All	<input checked="" type="radio"/> Some
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Add another change: ☒

Change 4				
Area of change (select)*:	Study Documents			
Specific change (select - only available when area of change is selected first)*:	Other significant document change that will have additional resource implications for participating organisations - Please specify in the free text below			
Further information (free text):	PIS Work Stream B v1.0 09/09/2020: Addition of new Participant Information Sheet for those participants identified through NHS Test and Trace (Work Stream B).			
Applicability:	England	Wales	Scotland	Northern Ireland
Where are the participating NHS/HSC organisations located that will be affected by this change?*	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Will all participating NHS/HSC organisations be affected by this change, or only some?:	<input checked="" type="radio"/> All		<input type="radio"/> Some	

Add another change: ☒

Change 5				
Area of change (select)*:	Study Documents			
Specific change (select - only available when area of change is selected first)*:	Other significant document change that will have additional resource implications for participating organisations - Please specify in the free text below			
Further information (free text):	Telephone Consent Form Booklet, v1.0 09/09/2020: Addition of telephone consent form for those participants identified through NHS Test and Trace.			
Applicability:	England	Wales	Scotland	Northern Ireland
Where are the participating NHS/HSC organisations located that will be affected by this change?*	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Will all participating NHS/HSC organisations be affected by this change, or only some?:	<input type="radio"/> All		<input checked="" type="radio"/> Some	

Add another change: ☐

Section 3: Declaration(s) and lock for submission

Declaration by the Sponsor or authorised delegate

- I confirm that the Sponsor takes responsibility for the completed amendment tool
- I confirm that I have been formally authorised by the Sponsor to complete the amendment tool on their behalf

Name [first name and surname]*:	Dr Lynne Webster
Email address*:	lynne.webster@mft.nhs.uk

Lock for submission

Please note: This button will only become available when all mandatory (*) fields have been completed. When the button is available, clicking it will generate a PDF copy of the completed amendment tool that can be included in the amendment submission. Please ensure that the amendment tool is completed correctly before locking it for submission.

Lock for submission

After locking the tool, refer to the "Submission Guidance" tab for further information about the next steps for the amendment.

Section 4: Review bodies for the amendment

Please note: This section is for **information only**. Details in this section will complete automatically based on the options selected in Sections 1 and 2.

	Review bodies																		
	UK wide:					England and Wales:				Scotland:				Northern Ireland:					
	REC	Competent Authority MHRA - Medicines	Competent Authority MHRA - Devices	APRSAC	Radiation Assurance	UKSW Governance	REC (MCA)	CAG	HMPPS	HRA and HCRW Approval	REC (AWIA)	PBPP	SPS (RAEC)	National coordinating function	HSC REC	HSC Data Guardians	Prisons	National coordinating function	Category:
Change 1:	Y					Y				Y									A
Change 2:	N					(Y)				(Y)									A
Change 3:	Y					Y				Y									B
Change 4:	Y					Y				Y									A
Change 5:	Y					Y				Y									B
Overall reviews for the amendment:																			
Full review:	Y					Y				Y									
Notification only:	N					N				N									
Overall amendment type:	Substantial for review																		
Overall Category:	A																		